Seven Principles					
of the Concordat	Clause	Evidence for Current Compliance	Required/Suggested Actions	Responsibility	Deadline
the importance of recruiting,		The University Corporate Plan 2011-2014 (Section 5.6-5.10 Enterprise and Research Activities) sets out the University's strategic ambitions for research: http://www.lsbu.ac.uk/data/assets/pdf_file/0006/9429/corporate-plan-11-14.pdf	Ongoing - reviewed on a 4- yearly cycle	University Executive	31 August 2014
researchers with the highest potential to		Researchers are recruited in the same way as all other staff at the University under the Recruitment and Selection Policy (see 1.2 below).	Ongoing - reviewed on an annual basis	HR	31 January 2015
achieve excellence in research.		The University's Equality, Diversity and Inclusion Policy 2013 confirms that it recruits, develops and retains its staff by promoting the University as an employer of choice and outlines its recruitment, selection methods and procedures to ensure that staff from all backgrounds are attracted: http://www.lsbu.ac.uk/data/assets/pdf_file/0009/11412/e quality-diversity-inclusion-policy-2011.pdf The Research Excellence statement at: http://www.lsbu.ac.uk/research/research-excellence outlines our research excellence.		HR	31 January 2015
		Since 2006, the University has published a series of five Core Values of Creativity, Excellence, Inclusivity, Integrity and Professionalism; which have been since refined and now developed into a draft Behavioural Framework currently awaiting approval by the Board of Governors at the end of March 2014. This Framework outlines the behaviours and standards expected of both staff and students, irrespective of their role, in order to recruit, support and retain all those who work or study at the University (available upon request from the Organisational and Staff Development Team (OSDT)).	Consider and approve draft Behavioural Framework	Board of Governors	31 March 2014

excellence and respect diversity (see Principle 6). Recruitment and selection procedures should be informative, transparent and open to all qualified applicants regardless of background. Person and vacancy specifications must clearly identify the skills required for the post and these requirements should be relevant to	The University's Recruitment and Selection Policy ensures transparent procedures are in place for all staff, including researchers. Policy at: http://extranet.lsbu.ac.uk/hr/Policies%20Procedures%20%20Forms/Forms/AllItems.aspx Job description and selection criteria are provided by the recruiting manager to Human Resources electronically as part of the recruitment process. Guidance on the skills required for the post is available through job description and person specification templates for research staff.	Ongoing - reviewed on an annual basis	HR	31 January 2015
	The University has an Equality, Diversity and Inclusion Policy: http://www.lsbu.ac.uk/about-us/policies-and-initiatives/equality-and-diversity which outlines its commitment and is shared in a number of places. Its approach is also set out in its <i>Equality, Diversity and Inclusion Statement</i> (2013): 'We are a unique educational institution that is proactively committed to creating a stimulating teaching and learning environment that values diversity, fairness, mutual respect and inclusion. We are dedicated to realising the potential of our staff, students and local community we believe that diversity enriches our individual and collective experience, performance and achievement.' Researchers, as do all other staff are expected to reflect the Core Values of Excellence and Inclusivity referred to in the University's draft Behavioural Framework (available upon request from the Organisational and Staff Development Team).	year cycle	HR	31 January 2015

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1.3. Research posts should only be	The recruitment process requires that the recruiting	Ongoing - reviewed on an	HR	31 January 2015
advertised as a fixed-term post where	manager provides an objective justification, if requesting a	annual basis		
there is a recorded and justifiable	fixed term post (or continuation of a fixed term post). This			
reason.	is recorded in Section 4 of the HR1 form: Fixed-Term			
	Appointments: http://extranet.lsbu.ac.uk/hr/Recruiting new			
	staff/Forms/AllItems.aspx			
	Since the 2006 Fixed-Term Workers regulations came into force, temporary staff with more than four years' service automatically gain the same employment rights as permanent staff. The University's standard practice is to offer temporary staff (including researchers) permanent contracts after two years' continuous service.			

1.4. To assure fairness, consistency	The commitment to Equality, Diversity and Inclusion	Ongoing - reviewed on a 4-	HR	31 January 2015
and the best assessment of the	underpins the University staff Recruitment and Selection	yearly cycle		1
candidates' potential, recruitment and	policy. Through the use and application of training and			
progression panels should reflect	monitoring, the University is committed to ensuring that			
diversity as well as a range of	these recruitment and promotion procedures are kept			
experience and expertise. In order to	constantly under review in order to ensure that individuals			
promote these values, individuals	are selected for interview and appointed to posts on the			
who are members of recruitment and	basis of their ability to do the job required. Equality,			
promotion panels should have	Diversity and Inclusion Statement (2013). See:			
received relevant recent training.	http://www.lsbu.ac.uk/about-us/policies-and-			
Unsuccessful applicants should be	initiatives/equality-and-diversity for the Equality and			
given appropriate feedback if	Diversity Policy and Equality, Diversity and Inclusion			
requested as this may be of	Action Plan.			
assistance to the researcher in				
considering their further career	The University runs Recruitment and Selection training			
development.	which all members of staff on short-listing and interview			
	panels are encouraged to attend. The Recruitment and			
	Selection procedure also specifies that, as far as possible,			
	panel members should be selected to reflect the diversity			
	of the University's staffing and student base.			
	Whilst not stated in the policy, in practice, any			
	unsuccessful applicants are given feedback from the Chair			
	of the interview panel upon request.			
	Researchers, as do all other staff are expected to reflect			
	the Core Values of Excellence and Inclusivity as found in			
	the Behavioural Framework (available upon request from			
	the OSDT).			
	,			
	Researchers, as do all other staff are expected to reflect			
	the Core Values of Inclusivity and Integrity referred to in			
	the University's draft Behavioural Framework (available			
	upon request from the OSDT).			

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1.5. The level of pay or grade for	All research posts are evaluated using the University-wide		HR	31 January 2015
researchers should be determined according to the requirements of the	HERA job evaluation process which is an objective factor based assessment. All posts at the University have been	annual basis		
post, consistent with the pay and	evaluated through this process including professorial and			
	senior post holders and the evaluation determines the			
organisation.	grade and hence the salary scale for all posts. The HERA			
	Job Evaluation scheme is made up of 14 elements that			
	reflect the value of higher education and the aspects of			
	roles seen as the most important. The elements are			
	explored during an interview and questions are put to the			
	line manager (where it is a new role) or to the post holder			
	where it is an existing role (the line manager will also be present).			
	Reference to HERA is in the recruitment policy at Stage 1 authorisation, page 3. Details of the HERA job evaluation process are on the HR website https://www1.lsbu.ac.uk/staff/matters/job-evaluation.html. Salary scales are reviewed annually.			

Version 1 - March	2017				
are recognised and valued by their employing organisation as an essential part of their	researchers, regardless of whether they are employed on a fixed term or similar contract. In particular, employers should ensure that the development of researchers is not undermined by instability of	All posts at the University are evaluated using the HERA Job Evaluation tool so there is no separate pay spine for researchers. Researchers are afforded the same contractual entitlements as other staff, e.g. annual leave, maternity/paternity leave, pension etc. All researchers have a mandatory review built into probationary procedures as well as at appraisal where development opportunities are encouraged regardless of the length of contract.	Ongoing - reviewed on an annual basis	HR	31 January 2015
I. •	departmental structures and systems.	In 2013, the Research Concordat Group conducted a review of the implementation of the Concordat to Support the Career Development of Researchers at LSBU. The result of the review was a revised Research Concordat Implementation Plan which outlines the University's approach to supporting the career development of researchers and was approved by the University Research Committee (URC) on 30 January 2014.	Ongoing - reviewed on an annual basis	URC	31 January 2015
		Programme open to all researchers since 2005 as well as running seminars and workshops in some faculties, e.g. Faculty of Health and Social Care in conjunction with the Central Research Support Department, https://my.lsbu.ac.uk/page/research-degrees-postgraduate-certificate-in-research-skills. All participants are invited to	months - Collate seminars relevant to researcher development from across the University into a single	CRSD in conjunction with faculties	30 June 2014
		The Organisational and Staff Development Team provide training opportunities for all staff, inclusive of contract or permanent researchers upon request with the authorisation of the relevant line manager. Specific development needs are extracted from the individual staff	Analysis of annual work plans required.	OSDT	31 October 2014

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2.2. Commitment by everyone	This commitment is embedded in the University's HR	Ongoing - reviewed on an	HR	31 January 2015
involved to improving the stability of	policies. All posts are evaluated against the HERA Job	annual basis		
employment conditions for	Evaluation Scheme so there is no separate pay spine for			
researchers and implementing and	research staff and they are rewarded on the basis of this			
abiding by the principles and terms	objective and analytical job evaluation tool (see also 1.3			
laid down in the Fixed Term	and 2.1 above).			
Employees (Prevention of Less				
Favourable Treatment) Regulations	Staff members on fixed-term contracts will normally be			
\ /	offered permanent contracts after just two years'			
Committee for Higher Education Staff	continuous service unless the continuance on a fixed term			
(JNCHES) guidance on the use of	contract can be objectively justified - HR1 form refers (see			
fixed-term contracts will provide	3.1 above).			
benefits for researchers, research				
managers, and their organisations.				

	2.3. Research managers should be	All staff have an annual appraisal with their line manager,	Research managers will be	Heads of	30 September
	required to participate in active	for researchers this would normally be their research	encouraged by senior	Department	2014
	performance management, including	manager. The Organisational and Staff Development	management to attend		
	career development guidance, and	Team (OSDT) provide training to both Appraisers and	training as part of their		
	supervision of those who work in their	Appraisees.	appraisals and will be		
	teams. Employers should ensure that		reminded of the existence of		
	research managers are made aware		the University's Research		
	of, and understand their		Concordat.		
	responsibilities for the management				
	of researchers and should provide		The Research Concordat	RCG	31 July 2014
	training opportunities, including	OSDT provide training and support for managers which	Group will investigate		
	equality and diversity training, to	underpins personal skills and feedback is assessed and	increasing the awareness of		
	support research managers in doing	recorded.	training opportunities for		
	this. Institutions will wish to consider		research managers.		
	how research managers' performance				
	in these areas is developed,		Vitae is responsive to	Heads of	30 September
	assessed and rewarded, and how	OSDT also encourage staff to attend the Unconscious	requests for workshops for	Department	2014
	effectively this supports good	bias lens workshop.	further development as part		
	research management.		of the implementation of the		
			Research Concordat to all		
			faculties and engagement		
			with researchers. All		
			research managers will be		
			made aware of the existence		
			of research support available.		
			OSDT will add a link to Vitae	OSDT	30 April 2014
			on their intranet webpages.		
			1		
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capable of supporting continuity of employment for researchers, such as funding between grants, other schemes for supporting time between grant funding, or systems for redeploying researchers within organisations where resources allow. Funders are expected to make it a priority to consider how their policies, guidance and funding can be enhanced to help employers to achieve this objective.	The continual employment of researchers is integral to research grant applications submitted through the University. Research managers are able to request bridging funds and authorisation is made at departmental/faculty level. HR relies on research managers to inform researchers of the availability of these funds. Researchers, like all staff are covered by the University's Academic Redundancy and Redeployment procedure: http://extranet.lsbu.ac.uk/hr/Recruiting%20new%20staff/Forms/AllItems.aspx Managers are responsible for carrying out the consultation process and researchers are offered redeployment opportunities within the University in the same way as other staff, i.e. priority interviews for posts at their substantive or similar grades.	to review this procedure.	HR	N/A
should be transparent and in accordance with procedures agreed between the relevant trade unions and the employers nationally and locally. In HEIs, pay progression will be in accordance with the Framework Agreement, though recognising the flexibility that institutions have in implementing the Framework.	Pay progression adheres to the Joint Negotiating Committee for Higher Education Staff (JHNCHES) framework: http://www.ucea.ac.uk/en/empres/paynegs/fagree/ and the University's salary scales are reviewed annually and are transparent. The details of the grades, scales and London Weighting can be found at: http://extranet.lsbu.ac.uk/hr/Policies%20Procedures%20%20Forms/Forms/AllItems.aspx (last updated 17 January 2014). Pay progression is on an annual incremental basis which is the same as for all other staff and is covered in individual contracts of employment.	Ongoing - reviewed on an annual basis	HR	31 January 2015

2.6. Researchers need to be offered	This is embedded within the University's Research		CRSD	30 April 2014
opportunities to develop their own		Concordat Implementation		
careers as well as having access to	Appraisal and Work Plan allocation meetings, the	Plan needs to be added to		
additional pay progression. Promotion	development needs and personal development aspirations	the the University's Staff		
opportunities should be transparent,	of the researcher are identified and development goals	Gateway.		
effectively communicated and open to	set. The University recognises and supports the			
all staff. It is helpful if clear career	responsibility of researchers in the management of their			
frameworks for early stage	own careers and their own personal development.			
researchers are outlined in				
organisational HR strategies.	Researchers are offered the same promotion opportunities			
	as other staff, for example in the annual promotion to	Ongoing - reviewed on an	HR	31 October 2014
	professor/reader exercise (via an all staff email) and all	annual basis		
	vacant posts are advertised internally as well as externally			
	and researchers can apply for them. These must be			
	evidence-based - details available upon request from HR.			

London South Bank University

31 January 2015

3. Researchers
are equipped an
supported to be
adaptable and
flexible in an
increasingly
diverse, mobile,
global research
environment.

3.1. It is recognised that positions of permanent employment are limited in the UK research and academic communities and that not all researchers will be able to obtain such a position. It is, therefore, imperative that researcher positions in the UK are attractive in themselves (and not, for example, solely as potential stepping stones to permanent academic positions). This requires that they provide career development which is comparable to, and competitive with, other employment sectors.

The University is committed to providing training and personal development for all researchers as demonstrated by the approval of the Research Concordat Implementation Plan by the University Research Committee on 30 January 2014.

Through the University's Research Concordat Implementation Plan, each researcher is encouraged to engage in ongoing action planning and career development through a skills audit-development planannual review cycle. Each faculty has a nominated Concordat coordinator who acts as an owner of the project in the faculty, and assists each researcher in their development as well as in finding appropriate mentoring. Training sessions, discussion meetings and mentoring are an integral part of the University's commitment to the development of researchers.

The University was commended in the QAA Institutional Audit in March 2010 for good practice as a result of the accreditation of research training through the Postgraduate Certificate in Research Skills: http://www.qaa.ac.uk/InstitutionReports/Reports/Document s/RG638LondonSouthBank.pdf

Some good practice in relation to equipping researchers to manage their own career development was identified in departments submitted to REF2014.

The University Research	URC
Committee will review the	
University's Research	
Concordat Implementation	
Plan annually.	
-	
Upload Research Concordat	CRSD
Implementation Plan	
L, '	

(approved by the University Research Committee on 30 January 2014) to the CRSD Webpage for the Concordat.

Run 4 awareness sessions with Heads of Department in each faculty to ensure managers are aware of the agreed approach.

Organise mentor training, produce guidance notes to support mentors/mentees and circulate on the University staff webpages.

Disseminate good practice from REF2014 to all faculties.

at CRSD 30 April 2014

RCG 30 May 2014

OSDT and CRSD

30 June 2014

2014

31 December

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3.2. A wide variety of career paths is	Professional development forms a significant part of the	Research managers will be	RCG	31 July 2014
	University's committment to supporting researchers, with	made aware of the		01 July 2017
move between different paths is key	training and development seminars available to all.	University's Research		
to a successful career. It is	Evidence of professional development review occurs at	Concordat Implementation		
	I · · · · · · · · · · · · · · · · · · ·	•		
recognised that this mobility brings	Annual Appraisal, which includes discussion on career	Plan through a series of		
great benefit to the UK economy and	development.	meetings for Faculty		
organisations will, therefore, wish to		Managers to enable them to		
be confident that their culture	The University offers workshops aimed at Early Career	impart the best practice		
supports a broad-minded approach to		suggested.		
researcher careers and that all career paths are valued equally.	research capacity (see 2.3 above).			
patris are valued equally.	Dragraggian routes for respectators include the appual			
	Progression routes for researchers include the annual promotion to professor/reader exercise which makes clear			
	II.			
	that promotion can be achieved through achievement in			
	any of the following areas:			
	Research and enterprise leadership and excellence of actional and interpretional standings.			
	national and international standing.			
	Teaching and scholarship leadership and excellence of			
	national and international standing.			
	Academic development, leadership and scholarly activity			
	of national and international standing.			
	The University's Development of the Research Concordat			
	report (pages 9-10), identifies that research managers			
	who have strong links with their own professional			
	institutions should be aware of and be able to disseminate			
	available opportunities to their researchers where known,			
	including alternative opportunities to move to other HEIs.			
	The University's agreed implementation of the Research			
	Concordat makes provision for researchers to be provided			
	with mentoring upon request.			

researchers recognise that researchers need to develop transferable skills, delivered through embedded training, in order to stay competitive in both internal and external job markets. Therefore, as well as the necessary training and	reflective cycle allows researchers and their mentors to identify areas of development and training. The Central Research Support Department (CRSD) provides a syllabus of transferable key skills training that is intended to enhance and develop competencies in, for example: time and project management skills, networking,	on the University's Research Concordat Implementation Plan to ensure researchers and managers are aware of the skills audit reflective cycle.		31 July 2014
and understanding to carry out a funded project, researchers also need support to develop the communication and other professional skills that they will need to be both effective	assertiveness, communication skills, presentation skills, writing for publication, and career path development. A record of completed evaluation forms from participants since the inception of the University's Key Skills Development Programme for researchers in 2005 has provided feedback to continually develop training courses. See also: https://my.lsbu.ac.uk/page/research-degreespostgraduate-certificate-in-research-skills.	Develop a coordinated approach to the promotion of researcher training provided by different University departments.	, ,	31 December 2014
choose to enter.	staff who wish to use the Researcher Development Framework planner developed by Vitae. Researchers are	Develop an in-house workshop on the Researcher Development Framework to encourage uptake of the University- funded RDF Planner subscription.	Postgraduate Skills Coordinator	30 June 2014
	students and some training opportunities are also freely accessible to all researchers. The OSDT offer additional bespoke training opportunities in response to any needs, if not already advertised on a monthly basis and also host a	Carry out an audit of personal development needs for researchers including participation on in-house and external events, e.g. SRHE, London Higher, Vitae etc.	CINOD	30 September 2014
	Staff Development Profile for every staff member which retains an active record of all training and development undertaken: http://www1.lsbu.ac.uk/osdt/profile.html	Assess the possiblity for researchers to undertake the PG Certificate in Research Skills.		30 September 2014

how their staff can access professional, independent advice on career management in general, particularly the prospect of	A seminar entitled, "After your Research Degree - Looking Ahead" aimed exclusively for both doctoral and contract researchers is run three times per year as part of the long-running Key Skills Development Programme which can be found at: https://my.lsbu.ac.uk/page/research-degrees-postgraduate-certificate-in-research-skills	regular, external opportunities for researcher	RCG	30 June 2014
systems that help them to plan their career development. Employers and funding bodies should assist researchers to make informed choices about their career	transparent and clearly stated. The annual progression to	Concordat Implementation Plan via a series of	RCG	31 July 2014
and clearly stated and that all researchers are aware of local and national career development strategies.	The University's Research Concordat training programme includes career path development discussion seminars. Subscription to Vitae's Researcher Development Framework Planner for all researchers is available from the OSDT upon request. Career development discussions are built into employee's annual appraisals. Mentoring is also available upon request as part of the University's Research Concordat (See also 2.6).	Develop guidance on local and national career development strategies.		31 December 2014

3.6. Employers should provide a	Inductions are carried out for staff, including research	In line with the Research	CRSD	30 April 2014
planned induction programme for	staff. More detail is provided at:	Concordat Implementation		
researchers, on appointment to a	http://www.lsbu.ac.uk/osdt-	Plan, send an email to all		
research post, to ensure early	secure/orientation/induction.html	new research staff outlining		
effectiveness through the		the support available for		
understanding of the organisation and	OSDT have produced an induction pack for all new staff,	researchers, the University's		
its policies and procedures. They	the link for which is sent with contracts to all new staff	approach to supporting		
should also ensure that research	joining the University. This can also be found on the	researchers, link to the		
managers provide effective research	OSDT website: http://connect.lsbu.ac.uk/ictinduction/ and	Research Support Handbook		
environments for the training and	include the "Welcome to the University" programme.	and offer individual meeting		
development of researchers and		with the CRSD for all new		
encourage them to maintain or start	Departments are responsible for individual induction	research staff.		
their continuous professional	programmes for all staff, including researchers.			
development.				
	Researcher Networking Lunches organised by the CRSD			
	are held several times a year to provide continuing			
	engagement with developing policies.			
	This is also in line with the University's Research			
	Concordat Implementation Plan to encourage the adoption			
	of workplans and mentoring, where possible.			

to consider articulating the skills that should be developed at each stage of their staff development frameworks and should encourage researchers to acquire and practise those skills. For example, researchers may be given the opportunity to manage part of the budget for a project, or to act as a mentor or advisor to other researchers and students.	With the approval of the Research Concordat Implementation Plan both accessibility to training and mentoring can be highlighted to researchers. Access to Vitae's Researcher Development Framework Planner and mentoring is available upon request for all researchers and this would inform and support appraisals. The OSDT provide project management training. Training opportunities are also provided via the appraisal system. Under HERA evaluation, the duties determine the grading of staff at LSBU. The HSC faculty and OSDT currently host training for research degree supervisors and the recruitment of PGR students. All posts under the HERA job evaluation process are assessed individually but generic templates are available as examples only on the HR staff recruitment website: http://extranet.lsbu.ac.uk/hr/Recruiting%20new%20staff/Forms/AllItems.aspx	Identify key activities that will help researchers to develop and look at routes for provision.	RCG	31 December 2014
specific career development strategy for researchers at all stages of their career, regardless of their contractual situation, which should include the availability of mentors involved in providing support and guidance for the personal and professional development of researchers. All researchers should be familiar with such provisions and arrangements.	Mentoring is a significant part of LSBU's approach to supporting researchers and is viewed as an additional level of guidance available to researchers, above and beyond that provided by management. All research staff are encouraged to identify and work with mentors in the development of their career aspirations. Mentors may be either internal or external staff (Concordat refers). Senior faculty representatives provide the link to support the selection and development of appropriate mentors. A seminar entitled, "After your Research Degree - Looking Ahead" aimed at researchers is run three times per year as part of the Key Skills Programme. The OSDT respond to specific requests for additional training.	Advice and guidance notes for mentors and mentees need to be produced to facilitate the implementation of mentoring for researchers where required. Run 3 workshops per year to inform staff of the Research Concordat Implementation Plan and including the option for mentoring.	OSDT	31 December 2014 31 July 2014

3.9.	. Research managers should	The University's Research Concordat Implementation Plan	To be reviewed on an annual	RCG	31 January 2015
		·	basis		[
unde	lertake Continuing Professional	ongoing CPD. The reflective skills cycle recognises that			
Deve	velopment (CPD) activity, so far as	the development of a CPD portfolio is an important			
is pc	ossible within the project. It should	component of the individual's development. At the			
be s	stressed that developmental	University, the skills in developing such portfolios are			
activ	vity can often have a direct impact	strong given the experience gained in healthcare and			
on th	the success of the project, by	education. These skills are shared regularly in discussion			
distr	ributing work, taking advantage of	groups and training sessions, typically to develop			
indiv	vidual strengths and talents, and	competencies in such aspects as research writing, project			
		tendering and specifying, and supervisory responsibilities.			
of re	esearchers in key areas such as				
		A training needs-analysis is built into the existing appraisal			
	•	system.			
	lience. Funding bodies				
		· ·	0 0	CRSD and OSDT	30 September
	•	•	dissemination of forthcoming		2014
			events and seminars relevant		
		•	to researchers through the		
men			intranet and email every 3		
		https://www1.lsbu.ac.uk/staff/academic/research/research-			
			attendance by 25%.		
		degrees-postgraduate-certificate-in-research-skills and			
		http://www1.lsbu.ac.uk/osdt-training/index.php/courses,			
		e.g. Unconscious bias lens workshops			
			- 3	CRSD in	30 June 2014
		, ,	 	conjunction with	
		posters, Research Summer School etc.	researchers.	RCG	

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3.10. Researchers should be empowered by having a realistic understanding of, and information about, their own career development and career direction options as well as taking personal responsibility for their choices at the appropriate times. Employers should introduce appraisal systems for all researchers for assessing their professional performance on a regular basis and in a transparent manner. It is important that researchers have access to honest and transparent advice on their prospects for success in their preferred career.

Appraisal is at the heart of the University's approach to development. Each researcher has an appraisal that is based upon an ongoing workplan document. The appraisal focuses on the workplan and targets; personal development planning, including identification of development goals and training needs form a significant component of the appraisal meeting. Ongoing training needs are discussed and recorded in the workplan as required.

Following a trial of the VItae RDF planner in 2013, the OSDT has agreed to fund individual subscriptions for all researchers that request it, with a view to taking out an institutional subscription if sufficient numbers of researchers demand.

The Research Concordat Implementation Plan will be made available to all staff via the CRSD Concordat webpage.	CRSD	31 March 2014
Ongoing - annual review of the further development of specific courses to meet development needs of researchers should be raised at appraisals and via a survey to all researchers annually.	OSDT in conjunction with Research managers and CRSD	31 December 2014
Develop a workshop on the Researcher Development Framework to encourage the uptake of subscriptions to Vitae RDF planner by 25%.	Postgraduate Skills Coordinator	30 June 2014
Review the optional teaching opportunities for researchers including participation on the PG Certificate in Learning and Teaching in Higher Education.	RCG, CRSD in conjunction with the Academic Staff Development Unit	30 September 2014

take measures to ensure broad	LSBU has a strong tradition of engagement with CPD and many of its researchers are engaged in CPD that is accredited by professional bodies. This is recognised and supported by the University's researcher development programme.	Further input needed, e.g. evidence of researchers in Healthcare etc enrolled on CPD.		30 September 2014
recognition of CPD schemes from other employing organisations as far as possible, so that researchers are not unduly disadvantaged when moving from one employer to another.	Researchers are actively encouraged to attend workshops, researcher networking lunches and seminars, e.g. costing and pricing both internally and externally. Attendance at external events is encouraged, e.g. London Higher, RCUK open days, Missenden Centre, SRHE, subscription to the JISC and Research Professional	Publicise SRHE opportunities etc to researchers via email, intranet every 3 months.	CRSD	30 June 2014
	mailing lists etc.	Encouraging the uptake of the PG Certificate in Learning and Teaching in Higher Education as well as part- time teaching where possible.		30 September 2014
		Co-ordinating seminars etc occurring in faculties into the existing Research Support Calendar, e.g. writing research grant applications.		30 September 2014
3.12. Employers will ensure that where researchers are provided with teaching and demonstrating opportunities as part of their career development, suitable training and support is provided.	Some good practice showing access to teaching and demonstration opportunities for researchers has been found in faculties and some senior staff have provided personal support to researchers. Where possible, opportunities to enrol upon the PG Certificate in Learning and Teaching in Higher Education (PG Cert LTHE) have been provided for researchers.	Encouraging the uptake of the PG Certificate in Learning and Teaching in Higher Education, part-time teaching, where possible to support this.		30 September 2014
		Through workshops on the Researcher Development Framework, ensure that researchers are aware of the teaching opportunities available and access to the PG Cert LTHE.		30 September 2014

	3.13. Employers and researchers can often benefit if researchers have an input into policy and practice through appropriate representation at staff meetings and on organisation or management committees.	There is researcher representation on three of the Faculty Research Committees (FRCs) and from two faculties on the Research Concordat Group.	Committees, University Research Ethics Committee,	Directors of Research or Research Leads and RCG	30 September 2014
	3.14. Mentoring arrangements should be supported by employers as a key mechanism for career development and enhancement.	The University has developed an optional mentoring system for all researchers. Concordat coordinators in each faculty will work with individuals to assist in the selection of appropriate individuals, who may be from within the University, or from commerce or business outside it. The University's Research Concordat Implementation Plan recognises that contract managers themselves may be the most appropriate mentors and in the case where Contract Researchers are also PhD students, their mentors could also be research supervisors.	mentoring scheme can be offered for researcher development both for mentors and mentees. Need to ensure sufficient mentors	OSDT	31 December 2014
			Annual monitoring of mentoring will take place.	RCG	30 January 2015
			Advice and guidance notes for mentors and mentees to be produced.	OSDT	31 December 2014
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version 1 - March	2014			,	
5. Individual	5.1. Researchers are employed to	Concordat training courses, seminars and working lunches			
researchers share	advance knowledge and should	provide the opportunity for researchers to share			
the responsibility	exercise and develop increased	experience and to work towards the ideals of knowledge			
for and need to	capacity for independent, honest and	sharing and independent and critical thought. The			
	critical thought throughout their	University recognises that there have been limited funds			
pro-actively	careers.	for researchers to attend conferences to disseminate their work. OSDT run workshops on supervising PhD students			
engage in their		and Unconscious Bias in the workplace.			
own personal and		and officoriscious bias in the workplace.			
career		The University provides guidance to researchers on good	Review regular ethics training	Chair of UREC	30 June 2014
development, and		practice in research through the documents Guidelines for			
lifelong learning.		Safeguarding Good Scientific Practice and has a policy on	ľ		
		Dealing With Allegations Of Misconduct In Research.			
		Both documents have recently been updated and			
		approved at the most recent Academic Board and will be			
		made available on the website.			
		LSBU is committed to the University-wide implementation of Universities UK's Concordat to Support Research Integrity by supporting researchers. Ethics training has	Organise another Poster Conference for all contract researchers to showcase	CRSD	30 June 2014
		been run for researchers.	their work in the University so		
			as to raise the profile of		
			research.		
		Poster exhibitions showcasing the work of either individual			
		researchers (doctoral) and their research group (involving			
		contract researchers) has successfully taken place at the			
		Research Summer School in June each year over the last few years.			
		iow yours.			
		Researchers, as do all other staff are expected to reflect			
		the Core Values of Integrity and Professionalism referred			
		to in the University's draft Behavioural Framework			
		(available upon request from the OSDT).			

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	ability to transfer and exploit knowledge where appropriate and facilitate its use in policy making and	Business Support Managers who will work with researchers to develop projects. They also provide advice	Liaise with University Enterprise Department to further develop provision of		30 September 2014
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their responsibility to conduct and disseminate research results in an honest and ethical manner and to contribute to the wider body of knowledge.	LSBU supports this concept and provides full ethical accreditation of all work, as well as providing training courses and discussion seminars on publication and communication of ideas. Documents reflecting the importance of Research Governance to the University can be found at: http://www.lsbu.ac.uk/research/governance. All research staff are expected to adhere to the policy and practice outlined. The University is also committed to the implementation of The Concordat to Support Research Integrity published by Universities UK for researchers: http://www.universitiesuk.ac.uk/highereducation/Document s/2012/TheConcordatToSupportResearchIntegrity.pdf and is committed to reviewing good practice in research ethics on a regular basis.	Additional information relating to research governance including specific NHS regulations, Disclosure and Barring Service checking, Health Research Authority (NHS), online data protection training is recommended for inclusion in easily-accessible University research webpages.	conjunction with	30 June 2014
	All researchers are required to undertake training on research ethics at induction and are expected to adhere to the University's Research Concordat. Further information is available online from: https://www1.lsbu.ac.uk/staff/academic/research/researchethics.html	,	HR liaising with Chair of UREC	30 June 2014
	The OSDT provide e-learning on data protection and equality, diversity and inclusion: http://www1.lsbu.ac.uk/osdt/elearning/epackages.html			
	Final reports on ethical compliance are usually required for research funders for audit purposes.			
	Researchers, as do all other staff are expected to reflect the Core Value of Integrity referred to in the University's			

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5.4. Researchers should also be aware that the skills and achievements required to move on from a research position may not be the same as the skills and achievements which they displayed to reach that position.	Career development is one of the important workshops provided by the University which focusses on skills analysis (See 3.8 above). Provision for doctoral level researchers and beyond has been made for the last 9 years and skills training has been accessible to visiting international researchers. LSBU is strongly committed to the continuing professional development of researchers as demonstrated by the University's Research Concordat Implementation Plan. Research support events can be found at: https://www1.lsbu.ac.uk/staff/academic/research/researchevents.html and also at: https://my.lsbu.ac.uk/page/research-degrees-postgraduate-		Postgraduate Skills Coordinator	30 June 2014
	certificate-in-research-skills Researchers are encouraged to use the individual Vitae Researcher Development Framework (RDF) Planner. Individual subscriptions can be funded via the OSDT. Researchers, as do all other staff are expected to reflect the Core Values of Creativity and Excellence referred to in the University's draft Behavioural Framework (available upon request from the OSDT).	uptake of the Vitae RDF Planner subscription (to a minimum of 5) via direct email to researchers and at		30 September 2014

that the primary responsibility for managing and pursuing their career is theirs. Accordingly, they should identify training needs and actively seek out opportunities for learning and development in order to further that career and take personal responsibility for their choices. Research managers and employers also have a responsibility to provide honest advice and appropriate	appraisal procedure, and the available courses are all components of this. The University's Research Concordat Implementation Plan is also intended to provide guidance	Following a successful trial, the University will encourage individual subscriptions to the Vitae RDF development tool as a means of further facillitating and recording their development. This will be reviewed each year. Ongoing monitoring of use of Research Professional by researchers.		30 September 2014 30 September 2014
	Funds for attendance on relevant researcher events, e.g. SRHE are available via the OSDT.	Recommendation that the process of applying for funds is set out in the existing OSDT webpage: http://www1.lsbu.ac.uk/osdt/index.html	OSDT	30 April 2014
their career development requirements and activities are regularly discussed, monitored and evaluated throughout the year in discussion with their research manager and mentor, and that they commit themselves fully to all such activities. Researchers are encouraged to record their Personal Development Planning (PDP) and CPD activities, a log of which may be presented to current and future employers as appropriate.	reflective cycle. Development goals are set from the first meetings with appraisers and mentors, and are adjusted through the year as priorities change. A significant component of the reporting cycle is the maintenance of a portfolio of work, which provides evidence of the year's activities, and creates a record of achievement. The University has built on the success of this approach for its postgraduate cohorts, and continuing the approach into the post-doctoral arena provides for a seamless transition	Implement the University's Research Concordat and undertake an annual audit of the mentoring provision annually along with any personal development requirements. Encourage the use of the OSDT online Staff Development Profile tool available to all staff. Develop workshop on Researcher Development Framework to encourage researchers to subscribe to the RDF planner.	RCG in conjunction with the CRSD and OSDT Postgraduate Skills Coordinator	31 January 2015 30 June 2014
	course books, feedback and evaluation forms OSDT training : http://www1.lsbu.ac.uk/osdt/			Page 25 c

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c. Diversity and equality must be bromoted in all aspects of the ecruitment and career nanagement of esearchers.	age, disability, sex, sexual orientation, race or religion. It also requires public bodies to take positive steps to promote equality, based on evidence and priorities, and to develop specific schemes and action plans related to gender, race and disability to address	The University is committed to the provision of equality for all, valuing diversity across all the dimensions of difference. This is set out in the University's Equality and Diversity Policy Statement and courses are available to all staff from the Organisational and Staff Development Team. See: www.lsbu.ac.uk/staff/matters/equalitydiversity.html A strategic University Action Plan was developed in July 2011 to address Equality, Diversity and Inclusion arising from the The Equality Act 2010 and the Public Sector Equality Duty 2011: http://www.lsbu.ac.uk/staff/matters/equalitydiversityinclusio n.html	yearly cycle	HR	31 January 2015
	6.2. As is the case for society as a whole, UK research will benefit from increasing equality and diversity in the recruitment and retention of researchers. The Concordat encourages the recruitment and	The University's Recruitment and Selection Policy ensures transparent procedures are in place for all staff, including researchers. Policy at: http://extranet.lsbu.ac.uk/hr/Policies%20Procedures%20%20Forms/Forms/AllItems.aspx	Ongoing - reviewed on a regular basis	HR	30 June 2014
	retention of researchers from the widest pool of available talent, including those from diverse backgrounds.	The University has an Equality and Diversity Policy: http://www.lsbu.ac.uk/about-us/policies-and-initiatives/equality-and-diversity which outlines its commitment and is shared in a number of places including the University's Research Concordat.	Ongoing - reviewed on a 4- yearly cycle	HR	31 January 2015
		The CRSD together with the OSDT have produced an Equality Impact Assessment relating to the REF2014 submission which will provide diversity statistics for researchers.	Extract relevant data from the REF2014 Equality Impact Assessment.	CRSD	30 September 2014
		Key University staff are linked to Aurora - a national women-only leadership development initiative. Key staff are also enrolled on the HEFCE-endorsed Stellar HE strategic development programme for diverse senior managers.	Consider reviewing the subscription to the Athena SWAN charter.	RCG	30 September 2014
		Researchers, as do all other staff are expected to reflect the Core Values of Excellence and Inclusivity referred to in the University's draft Behavioural Framework (available upon request from the OSDT).			
					Page 26

demanding nature of research	The University's Staff Inclusion Policy addresses this: http://www.lsbu.ac.uk/hr/docs/Staff-Inclusion-Policy-june2011.pdf	Ongoing - reviewed on a 4- yearly cycle	HR	31 January 2015
recommend that all members of the UK research community actively	The CRSD together with the OSDT have produced an Equality Impact Assessment relating to the REF 2014 submission which will provide diversity statistics for researchers.	Extract relevant data from the REF2014 Equality Impact Assessment and address any issues raised.		30 September 2014
working conditions for researchers provide the flexibility necessary for successful research performance in line with legal requirements. Employers should recognise that for parents and others who have taken	The University has in place various policies and schemes to ensure flexible working conditions: Childcare vouchers - Guide for Applying; Discretionary and Special Leave Provisions; Emergency Leave for Dependants; Maternity and Paternity Entitlements, Adoption Leave and Pay, Maternity Cover; which can be found at: http://extranet.lsbu.ac.uk/hr/Policies%20Procedures%20%20Forms/Forms/AllItems.aspx	Ongoing - reviewed on an annual basis	HR	31 January 2015
respond flexibly to requests for changed work patterns and to resist instant refusals on the assumption that, because research has always	All employees may request flexible working from their line managers. See: http://extranet.lsbu.ac.uk/hr/Policies%20Procedures%20%20Forms/Forms/AllItems.aspx for Flexible Working policy. Managers must consider the request, although certain rules apply.	Ongoing - reviewed on an annual basis	HR	31 January 2015

ensure that their funding mechanisms and policies are adapted to changing diversity and equality legislation and guidance, for example in their provision of additional funding and duration of grant to cover paternity and adoptive leave as well as maternity leave.	Research Council grant funding and other funders (where specified) include provision for an extension of the grant up to six months to cover breaks or delays in the appointment of staff, periods of maternity/paternity leave or named researchers. The University will support additional funding where cossible. The relevant faculty or department will have to und leave other than if maternity or paternity leave is equested. The University will continue to encourage Principal Investigators to apply for an extension or additional funding to recruit someone to do the work (due o risk of losing the contract/funding).	Ongoing - reviewed on an individual basis	Research Managers	N/A
representative balance of gender, disability, ethnicity and age at all levels of staff, including at supervisory and managerial level. This should be achieved on the basis of a transparent equal opportunity policy at recruitment and at all subsequent career stages. Diversity should be reflected on selection and evaluation committees. What is 'representative' will vary according to the nature of the institution and the academic research subject, but institutions should aim to	The University's Recruitment and Selection Policy as well as Equality and Diversity Policy ensure compliance: http://extranet.lsbu.ac.uk/hr/Policies%20Procedures%20%20Forms/Forms/AllItems.aspx The Recruitment and Selection Policy encourages epresentative diversity on interview panels, but the University currently does not have nor operate argets/percentages for any recruitment/appointment. Permanent members of staff at grade 8 or higher, with appropriate academic standing, may be considered for the litle of Professor or Reader. An email from HR is sent to all staff once a year (most recently October 2013) with a deadline for applications.	Ongoing - reviewed on an annual basis	HR	31 January 2015

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6.8. Account should also be taken of	None of our policies indirectly or directly disadvantage any		HR	None
the personal circumstances of groups	group and researchers, like all staff, are covered by such			
of researchers. Examples would	policies equally.			
include researchers who have				
responsibility for young children or				
adult dependants, researchers for				
whom English is not a first language,				
older or younger researchers, or				
researchers with disabilities and long-				
term health issues. Employers and				
funders should change policies or				
practices that directly or indirectly				
disadvantage such groups.				
6.9. All managers of research should	Researchers, like all staff, are covered by the University's	Ongoing - reviewed on a 4-	HR	31 January 20
ensure that measures exist at every	Staff Inclusion Policy at the following link	yearly cycle		
institution through which	http://www1.lsbu.ac.uk/hr/docs/Staff-Inclusion-Policy-	, , . ,		
~	june2011.pdf			
can be reported and addressed				
without adversely affecting the				
careers of innocent parties.				
6.10. Employers should also consider	The University is currently signed up to the Aurora women-	Review of schemes and		30 September
participation in schemes such as the	only leadership development initiative in higher education	initiatives aimed at promoting		2014
Athena SWAN Charter, the Juno	and also the Stellar HE initiative. The University is not	1	the URC	
Project and other initiatives aimed at	currently signed up to any other scheme nor are there any	with a view to recommending		
promoting diversity in research	HR policies covering this.	University participation in		
careers.		such schemes, where		
		appropriate.		
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all stakeholders will undertake regular and collective review of their progress in	greater integration of researchers into the mainstream management and career development structures of their employing organisations. The aim of this section is to promote implementation through a collective	Group (RCG) which meets three times a year. Membership includes the Faculty Concordat Coordinators;	RCG to ensure all faculties have a researcher representative member of the group.	RCG	31 July 2014
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constitute independe implemen Concorda represents sector boo Profession will inform Funders' I procure an benchman state of th this Concorda c. to contr of the cos implemen the bench up an impl Concorda sustained operating appropriat monitoring in Resear e. to unde review of Concorda to the sign of progres report and and emplo	e a steering group under an ent chair to oversee the station and review of the at with appropriate ration of the funders and dies including the stational Institutions. This group in the UK Research Base Forum of progress. b. to in independent rking study to assess the sector at the launch of	The Pro Vice-Chancellor (Academic) supports the submission to the HR Excellence in Research award which is also endorsed by the University Research Committee (URC). RCG undertook a review of implementation of the University's Research Concordat in 2013 resulting in the newly-approved Research Concordat Implementation Plan. The URC is committed to regularly monitoring and review of the Concordat implementation.	Participate in next CROS (Careers in Research Online Survey) and PIRLS (Principal Investigator Research Leaders Survey) or local equivalent.		March/April 2015
that their t example, expectation Organisat	signatory funders will ensure terms and conditions of, for project grants include the on that the Research tions that they fund will principles of the revised at.		The Research Concordat Group will monitor the Concordat Implementation plans and progress of external funders	RCG	31 January 2015



7.4. The signatories recognise the	The University is committed to sharing its best practice	Ongoing - reviewed on an	RCG	31 January 2015
	ideals and engaging with the development sector. The	annual basis	RUG	31 January 2015
		annual basis		
sharing practice between institutions	University has embraced the development ideals set by Vitae and will further engage with this to develop new			
the implementation and review	protocols.			
process. The funding signatories will				
consider aligning their support for				
transferable and career development				
skills. It is expected that Vitae, the				
national programme dedicated to				
realising the potential of researchers,				
funded by the Research Councils, will				
play a major role in innovating,				
sharing practice and enhancing the				
capability of the sector to implement				
aspects of the Concordat, as well as				
establishing strategic partnerships				
between funders.				
7.5. Under public sector equality	A detailed Equality Impact Assessment was undertaken	Ongoing - to be reviewed by	CRSD and Senior	30 November
		the next REF submission	OD and EDI	2019
schemes, employers are required to	for REF2014 which included data monitoring of workforce	The next REF submission		2019
monitor equality and diversity	components for protected characteristics:		Manager, OSDT	
indicators for their researchers. This	http://www.lsbu.ac.uk/data/assets/pdf_file/0016/29302/e			
section focuses on the co-ordination	quity-impact-assessment-ref-report.pdf Equality and			
and enhancement of existing	diversity data is collected and monitored for all groups of			
information collection and not on the	staff including researchers.			
creation of additional data. There is a				
strong presumption that implementing				
the Concordat, significant emphasis				
will be placed on the use of existing				
data and information sources and on				
the sharing of good practice between				
institutions and to provide evidence of				
its impact.				

Glossary:

CRSD - Central Research Support Department

HR - Human Resources

OSDT - Organisational and Staff Development Team

RCG - Research Concordat Group

URC - University Research Committee

UREC - University Research Ethics Committee